

**4th QUARTER PERFORMANCE REPORTS**

FY 2011

**POSTSECONDARY INSTITUTIONS  
PRIVATE AND STATE RELATED COLLEGES**

**Department of Finance  
Executive Budget Office**

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>501 - University of Alabama</b>
<b>Mission:</b>	The University of Alabama, the State of Alabama's oldest public university, is a senior comprehensive doctoral level institution. The University was established by constitutional provision under statutory mandates and authorizations. Its mission is to advance the intellectual and social condition of the people of the State through quality programs of teaching, research, and service.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Student credit hour production	368000	384213	NA	NA	352000	366369	80000	76341	720,000	826923
W2. Fall student FTE	27000	27554	NA	NA	NA	NA	NA	NA	24,000	27554
W3. Annual degrees awarded	1475	1651	NA	NA	3125	3403	1300	1364	4,900	6418

Key Goal:			
<b>Goal 1</b>	Advance the University's academic, research, scholarship, and service priorities; continue to promote growth and national prominence in these areas.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Maintain the number of degrees awarded annually.	Annual degrees awarded.	1,475	1651	NA	NA	3,125	3403	1,300	1364	5,900	6418
Maintain library holdings in books and periodicals.	Library holdings.	NA	NA	NA	NA	NA	NA	3,500,000	3268244	3,500,000	3268244
Increase research award dollars.	Total research award dollars.	9,500,000	7852408	9500000	7101619	9,500,000	7013890	9,500,000	11194234	38,000,000	33162151

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 2</b>	Retain and recruit outstanding faculty and staff to support the teaching, research, and service mission of the University.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase faculty salaries to the SUG 75th percentile.	Average faculty salary	NA	NA	NA	NA	NA	NA	87,200	83006	87,200	83006
Maintain the enrollment of international students.	Number of international students	900	955	NA	NA	NA	NA	NA	NA	900	955
Key Goal:											
<b>Goal 3</b>	Enhance the University's learning environment to attract and retain excellent students.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Expand the University's public wireless network.	Percentage of campus wireless progress	NA	NA	NA	NA	NA	NA	95%	97%	95%	97%
Maintain funding awarded for academic scholarships.	Maintain in dollars awarded	6,824,400	6214274	NA	NA	5,583,600	5758768	NA	NA	12,408,000	11973042
Key Goal:											
<b>Goal 4</b>	Develop a University-wide emphasis on leadership as a primary role of the flagship university of the State of Alabama.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Maintain the number of participants in the University's non-degree programs that support business, non-profit, and governmental programs in the State of Alabama.	Number of participants	NA	NA	NA	NA	NA	NA	24,000	21276	24,000	21276

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 4	Develop a University-wide emphasis on leadership as a primary role of the flagship university of the State of Alabama.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Maintain the number of participants in conferences hosted and supported by The University of Alabama that promote professional and leadership development growth for the State of Alabama.	Number of conference participants	NA	NA	NA	NA	NA	NA	8,000	8598	8,000	8598

**Item #    Notes**

WL1

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>502 - University of Alabama Birmingham</b>
<b>Mission:</b>	The University of Alabama at Birmingham (UAB) is a research university and academic health center that discovers, teaches and applies knowledge for the intellectual, cultural, social and economic benefit of Birmingham, the state and beyond.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Total student enrollment	17820	17543	NA	NA	NA	NA	NA	NA	16048	17543
W2. Total number of full-time faculty	2045	2032	NA	NA	NA	NA	NA	NA	2045	2032
W3. Research Expenditures	109825000	109920274	109825000	106109995 0	109825000	110942448	109825000	113941367	426186587	440914084

Key Goal:			
<b>Goal 1</b>	Achieve a highly effective undergraduate educational experience to give students the best preparation for productive and meaningful careers and lives that benefit society; ensure that graduate and professional programs prepare students to lead, teach, conduct research, and provide professional services.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Maintain appropriate student to faculty ratio.	Student to faculty ratio	17:1	17:1	NA	NA	NA	NA	NA	17:1	17:1	17:1
Increase number of students participating in internships, coop, clinical activities, and student teaching.	Number of students participating in internships, coop, clinical activities, and student teaching.	NA	NA	NA	NA	NA	NA	4000	4254	4000	4254
Increase number of students who successfully complete the first year of college and continue.	First-year retention rate	80.0%	80.0%	NA	NA	NA	NA	NA	80.0	80.0%	80.0

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 2</b>	Expand and improve UAB's research and scholarship capability to acquire and disseminate new knowledge that benefits society and that further positions UAB as an internationally recognized research university.								<b>Governor's Priority:</b>	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase research productivity.	Research expenditures per NASF research space	NA	NA	NA	NA	NA	NA	495	446	495	446
Generate additional funds through royalty revenue.	Option/licensing income generated during the year	1025000	717308	1025000	985728	1025000	3521705	1025000	990995	4100000	6215736
Key Goal:											
<b>Goal 3</b>	Enhance our value and reputation in the state by working effectively with partners to help advance community and state goals in education, health, quality of life and economic development.								<b>Governor's Priority:</b>	5	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the number of businesses developed through UAB's incubator facility.	Number of businesses incubated during current year	NA	NA	NA	NA	NA	NA	5	5	5	5
Provide health care for the community.	Number of screenings/eye exams by School of Optometry	NA	NA	NA	NA	NA	NA	NA	12415	7100	12415
Make health care more accessible to area citizens.	Number of community-based dental clinics	NA	NA	NA	NA	NA	NA	13	12	13	12

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 4	Create a positive, supportive and diverse work environment in which faculty and staff can excel.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the percentage of full-time faculty with the appropriate terminal degree.	Percentage of full-time faculty with terminal degree	NA	NA	88%	86%	NA	NA	NA	86%	88%	86%
Increase the number of full-time regular tenured or tenure-track minority faculty.	Number of full-time regular tenured or tenure-track minority faculty	NA	NA	270	269	NA	NA	NA	269	270	269
Increase the percentage of credit hours that are taught by full-time regular faculty.	Percentage of hours taught by full-time regular faculty.	NA	NA	83%	82%	NA	NA	NA	82%	83%	82%

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>503 - University of Alabama Huntsville</b>
<b>Mission:</b>	The University of Alabama in Huntsville, a research-intensive university, is committed to rigorous scholarship, innovative education, technological research, cultural growth and entrepreneurial creativity in order to enrich our global community.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Increase undergraduate student population.		N/A		N/A		N/A		5935	6425	5935
W2. Increase graduate student population.		N/A		N/A		N/A		1694	1640	1694

Key Goal:								
<b>Goal 1</b>	Improve freshman student retention rate to 78% by 2012.						<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Set a goal of improve retention rate by 1-2% each year.	Percent	N/A	-1%	N/A	-1%	N/A	-1%	78	79	78	79

Key Goal:								
<b>Goal 2</b>	Increase enrollment by 10% in the next three years.						<b>Governor's Priority:</b>	3

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase student FTE by 10% in 2012 without sacrificing the composite ACT score average of 25.	Student FTE	N/A	N/A	N/A	N/A	N/A	N/A	6259	5655	6259	5655

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 3</b>	Increase scholarships base by 10% for incoming freshmen by 2012.								<b>Governor's Priority:</b>	4	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the student population with ACT scores of 30 and above by 10% by the year 2011.	ACT Scores Above 30 (Reported)	N/A	N/A	N/A	N/A	N/A	N/A	478	465	478	465
Key Goal:											
<b>Goal 4</b>	Enhance the diversity of the UAH community. Increase minority faculty, staff, and student base by 10% by 2013.								<b>Governor's Priority:</b>	5	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Each college to increase minority hires by at least one each year.	Minority Faculty	N/A	2	N/A	2	N/A	2	81	70	81	70
Key Goal:											
<b>Goal 5</b>	Emphasize research that promises to achieve national prominence in areas supportive of its educational mission and in areas important to the economy of the region.								<b>Governor's Priority:</b>	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase restricted federal grants and contracts by at least 5% each year.	Dollar (million)	20	5%	40	42	60	58	80	85	80	85

**Item # Notes**

- WL1 Quarterly information is not available. Fall 2010 Undergraduate enrollment decreased by 114 students from Fall 2009.
- WL2 Quarterly information is not available. Fall 2010 Graduate enrollment increased by 47 students from Fall 2009.
- WL1 Quarterly information is not available. Fall 2010 Undergraduate enrollment decreased by 114 students from Fall 2009.
- WL2 Quarterly information is not available. Fall 2010 Graduate enrollment increased by 47 students from Fall 2009.

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

- WL1 Quarterly information is not available. Fall 2010 Undergraduate enrollment decreased by 114 students from Fall 2009.
- WL2 Quarterly information is not available. Fall 2010 Graduate enrollment increased by 47 students from Fall 2009.
- O1 Quarterly information is not available. The retention rate for first-time freshmen actually decreased by 1% in Fall 2010.
- O1 Quarterly information is not available. The retention rate for first-time freshmen actually decreased by 1% in Fall 2010.
- O1 Quarterly information is not available. The retention rate for first-time freshmen actually decreased by 1% in Fall 2010.
- O1 The creation of the Student Success Center Office and the establishment of the first-year student experience program contributed to the increased in student retention rate for first-time freshmen.
- O1 Undergraduate students enrollment decreased slightly in Fall 2010 while graduate student enrollment increased modestly.
- O1 Undergraduate students enrollment decreased slightly in Fall 2010 while graduate student enrollment increased modestly.
- O1 Undergraduate students enrollment decreased slightly in Fall 2010 while graduate student enrollment increased modestly.
- O1 We have 5655 student FTE for Fall 2011.
- O1 We have 5655 student FTE for Fall 2011. The headcount enrollment for the past 2 years has been flat.
- O1 Quarterly information is not available.
- O1 Quarterly information is not available.
- O1 Quarterly information is not available.
- O1 The fall 2011 number for Undergraduate Degree seeking is 436. All undergraduate is 465. UAH continues to attract high quality students, both in-state and out-of-state.
- O1 The fall 2011 number for Undergraduate Degree seeking is 436. All undergraduate is 465.
- O1 Quarterly information is not available. The number of minority faculty increased by 2 in Fall 2010 from Fall 2009. Information as reported to IPEDS.
- O1 Quarterly information is not available. The number of minority faculty increased by 2 in Fall 2010 from Fall 2009. Information as reported to IPEDS.
- O1 Quarterly information is not available. The number of minority faculty increased by 2 in Fall 2010 from Fall 2009. Information as reported to IPEDS.
- O1 Includes only Fall 2011 instructional and research faculty as reported.
- O1 Includes only Fall 2011 instructional and research faculty as reported.
- O1 The total expenditures from restricted federal grants and contracts is expected to reach its target of 5% growth by the end of the year.
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- O1 The total expenditures from restricted federal grants and contracts is expected to reach its target of 5% growth by the end of the year.
- O1 UAH fiscal year ends 9/30. The information provided for FY 2011 is preliminary and unaudited.
- O1 UAH fiscal year ends 9/30. The information provided for FY 2011 is preliminary and unaudited. UAH continues to meet its target in research expenditures.



## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>505 - Alabama State University</b>
<b>Mission:</b>	Alabama State University is a student-centered, nurturing, comprehensive and diverse public historically black University committed to achieving excellence in teaching, research and public service. The University fulfills its mission through fostering critical thought, artistic creativity, professional competence and responsible citizenship in its students; by adding to the body of knowledge to enhance the quality of life through research and discovery; and by helping to advance the state and nation through thoughtful public service. Offering baccalaureate through doctorate degrees, the University maintains a scholarly and creative faculty, state-of-the-art facilities and a living atmosphere in which all members of the campus community can work and learn in pleasant and rewarding surroundings. Consistent with its assurance that race, gender nor economic status inhabits intelligence, creativity or achievement, ASU offers a bridge to success for those who commit to pursuing the building blocks of development, focus, persistence and reward.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Student Enrollment	5500	n/a	5500	5705	5500	5500	3000	2843	6,000	13625
W2. Instructional Faculty FTE	252	n/a	252	n/a	252	252	126	126	259	287

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 1	To ensure the academic and fiscal integrity of the University.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Develop and nurture centers of excellence in each school and college; maintain existing accreditations and strengthen all academic programs; develop and implement a new comprehensive plan to significantly expand and strengthen the Library and Learning Resources Center; expand non-degree continuing education programs; provide managerial leadership to perpetuate the fiscal integrity of the University; develop investment policies procedures that will result in return on investments of the Endowment Portfolios to support academic programs and projects; develop a system of reports that will inform senior administrators, members of the Board of Trustees and other required entities of the financial condition of the University	comply with all oversight reviews		ongoing		ongoing		ongoing		ongoing		ongoing

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 2	Enhance the public's perception of the University and ensure that the University responds to all of it's constituents thus increasing it's external support.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
To develop and promote an effective program of institutional advancement; expand and promote the University's instruction, public service, and cultural services to permit the institution to more effectively serve its constituents' needs; strengthen the planning, management and evaluation system which promotes and monitors progress toward achievement of institutional effectiveness; continue to improve the overall socio-economic status of the citizens of Alabama and its neighbors through the Center of Leadership and Public Policy and the National Center for the Study of Civil Rights and African American Heritage, by promoting greater cooperation and improving communication between academia and the community, and through expanded public service.	Increase external fundraising by 10%		ongoing		ongoing		ongoing		ongoing		ongoing

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 3	To maintain the physical campus so that the University is a pleasant place to work, study, and live, and to foster continuous improvement of daily operations to become a world class institution of higher learning.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Develop and promote an effective program of institutional advancement; expand and promote the University's instruction, public service, and cultural services to permit the institution to more effectively serve its constituents' needs; position Alabama State University as the university uniquely suited to meet the needs of potential enrollees; strengthen the planning, management and evaluation system which promotes and monitors progress toward achievement of institutional effectiveness.	quality facilities		ongoing		ongoing		ongoing		ongoing		ongoing

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 4	To improve programs and services that will contribute to the development of wholesome student life.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
To develop and maintain career development programs; develop and maintain a comprehensive recreational and intercollegiate sports program to support student life and alumni activities; establish benchmarks for meeting Title IX issues in participation, funding, compensation, and scholarship opportunities; increase student involvement in local, national and international programs and opportunities; participate in community outreach projects to enhance the relationship of the Athletic Department with its stakeholders; and to maintain a supportive and nurturing environment for the academic development of all Alabama State University student-athletics.	Student Assessment		ongoing		ongoing		ongoing		ongoing		ongoing

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>506 - Auburn University</b>
<b>Mission:</b>	To serve the citizens of Alabama by offering high-quality programs of instruction, research, and outreach as a comprehensive land-grant university and to prepare Alabamians to respond successfully to the challenges of a global economy

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. FTE Instructional Personnel (= IPEDS Primarily instruction + Instruction combined with research or public service)	1265	1265	1265	1265	1265	1265	1265	1265		1265
W2. Student Enrollment (FTE)	22930	22930	22930	22930	22930	22930	22930	22930		22930
W3. Full- and part-time employees (excludes part-time GTA/GRA and hourly student workers)	6500	6500	6500	6500	6500	6500	6500	6500		6500
W4. Net assignable square feet	5.11 million NASF		5.11 million NASF							

Key Goal:					
<b>Goal 1</b>	By 2014, increase 6-year graduation rates from 63% to 73%.			<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
By the end of FY10, increase 6-year graduation rate to 66.4% (Fall 2003 cohort).	6-year graduation rate (%)		66.4% (2004 cohort)		NA		NA		NA		66.4% (2004 cohort)

**Post Secondary Institutions**

<b>Key Goal:</b>												
<b>Goal 2</b>		By 2011, enhance the University's contributions to knowledge by increasing sponsored research to at least \$140MM.							<b>Governor's Priority:</b>		1	
<b>Objectives and Quarterly Targets:</b>												
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>		
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	
By the end of 2010, reach at least \$137MM in total sponsored research and development expenditures, as reported by the National Science Foundation (2007 data).	\$ (millions)		\$140.6 million		NA		NA		NA		\$140.6 million	
<b>Key Goal:</b>												
<b>Goal 3</b>		By 2011, achieve above-peer-group levels of undergraduate student writing.							<b>Governor's Priority:</b>		1	
<b>Objectives and Quarterly Targets:</b>												
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>		
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	
Equal peer norms in the level of student writing, as measured by the National Survey of Student Engagement.	Number of papers and reports written		NA		NA		NA		Levels w/in 0.2 std. units of peer norm		Levels w/in 0.2 std. units of peer norm	
<b>Key Goal:</b>												
<b>Goal 4</b>		Continue to enhance the environment for teaching, learning, discovery and outreach by maintaining sustained growth in diversity.							<b>Governor's Priority:</b>		1	
<b>Objectives and Quarterly Targets:</b>												
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>		
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	
By 2010 (as measured by Fall 2009 enrollment), increase enrollment of qualified students from minority populations, including non-resident aliens, to at least 170 per 1,000 enrolled.	ratio of headcounts		170 per thousand (Fall 2010)		NA		NA		NA		170 per thousand	

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 5	Continue to serve as one of Alabama's premier knowledge and reference centers.							Governor's Priority:	1		
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
In 2010, remain a member of the prestigious Association of Research Libraries.	ARL Membership Status		Member		Member		Member		Member		Member

**Item # Notes**

- O1 Objective mistakenly referred to Fall 2003 cohort and FY2010. It should have referred to FY11 and the 2004 cohort.
- O1 Objective mistakenly referred to Fall 2003 cohort and FY2010. It should have referred to FY11 and the 2004 cohort.
- O1 Reported in 1st Qtr and repeated in annual summary
- O1 Reported in 1st Qtr and repeated in annual summary
- O1 Reported in 1st Qtr and repeated in annual summary
- O1 Reported in 1st Qtr and repeated in annual summary
- O1 Will be reported in Q4
- O1 Will be reported in 4th Qtr
- O1 Will be reported in Q4
- O1 Data are updated for FY2011 (as measured by Fall 2010 enrollment)
- O1 Reported in 1st Qtr and repeated in annual summary
- O1 Reported in 1st Qtr and repeated in annual summary

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>508 - Jacksonville State University</b>
<b>Mission:</b>	Jacksonville State University is a public, comprehensive teaching institution that provides educational, cultural, and social experiences for a diverse undergraduate and graduate student population. As a student-centered university, Jacksonville State University strives to balance academic challenges with a range of support services for students' academic, career, and personal goals. As an academic institution, Jacksonville State University seeks to produce broadly educated graduates with skills for employment, citizenship, and life-long learning. As a comprehensive university, Jacksonville State University supports scholarly and service activities consistent with its academic and professional strengths.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Undergraduate credit hours	95000	97293	89000	88717	17000	15551	8500	10922		212483
W2. Graduate credit hours	9500	8582	9000	7769	5400	2507	3000	2773		21631

Key Goal:			
<b>Goal 1</b>	Continually improve administrative processes and services.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the number of administrative and academic programs completing the JSU Program Review process.	Units completing program review	0	0	0	0	0	7	10	0	10	7

Key Goal:			
<b>Goal 2</b>	Advance student learning through academic excellence.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the number of unique students taking online courses at JSU.	Number of unique students enrolled in distance education.	3500	3613	1200	1509	800	744	500	629	6000	6495

**FY11 SMART Quarterly Performance Report**  
**Post Secondary Institutions**



## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 3	Offer workforce development programs in machine technology and certified nursing assistants.								Governor's Priority:	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Introduce machine operations as they relate to the metalworking industry through a partnership with the Sumter County School System	Enrollment	3	15	3	0	3	0	3	0	12	15
Prepare individuals to perform routine care and assistance duties for patients under the direct supervision of otherhealth care professionals and/or to perform routine maintenance and general assistance duties in health care laboratories and other health-related services through a program approved through the Workforce Investment Act (WIA) Occupational Training Program	Enrollment	10	18	10	0	10	12	10	0	40	30
Key Goal:											
Goal 4	Provide educational resources to K-6 teachers in the form of workshops designed to enhance math teaching skills by providing an innovative approach to teaching and understanding math.								Governor's Priority:	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the number of educators involved ith the UWA-bby Publishing and Consulting Center workshops nationally.	Number of workshops	20	32	15	46	10	14	20	11	65	103
Assess and determine needs of math educators in the Black Belt through workshops, assessment of math scores, etc.	# of Black Belt Schools Contacted	2	8	2	16	2	5	2	19	8	48

**FY11 SMART Quarterly Performance Report**  
**Post Secondary Institutions**

**Item # Notes**

- O1 Counseling Workshop - North Sumter Junior High
- O1 UWA counseling faculty worked with area school teachers and counselors to provide support and information for the tornado victims.
- O1 Eleven faculty members made twenty-six presentations to area schools and at professional conferences
- O2 Two on-campus workshops were held in October, one Grant Conference and one on Early Childhood Education and BEST Fair
- O2 In June, UWA faculty presented a geocaching workshop to the campus school teaches and completed an activity with the students.
- O2 Three on-campus conferences were held with 410 attendees.
- O1 No students were enrolled during this time. We were between classes.
- O1 Machine operations program no longer provided.
- O2 Although there were no students enrollend in the program, the Division of Outreach Services has conducted a Job Readiness Training Program, which had eight participants.
- O1 There were forty-six UWA-bby Publishing and Consulting Center workshops held nationally during this time period.
- O2 Four schools from four counties in Alabama (Wilcox, Hale, Marengo, and Sumter counties) were contacted during this time period.

**Post Secondary Institutions**

<b>Institution:</b>	<b>510 - University of Montevallo</b>
<b>Mission:</b>	"to provide to students from throughout the state an affordable, geographically accessible, "small college" public higher educational experience of high quality with a strong emphasis on undergraduate liberal studies and with professional programs supported by a broad base of arts and sciences, designed for their intellectual and personal growth in the pursuit of meaningful employment and responsible, informed citizenship."

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Undergraduate FTE (Fall data)	2600	2305	2600	2239	2600	2239	2600			
W2. Graduate FTE (Fall data)	450	268	450	313	450	313	450			

<b>Key Goal:</b>			
<b>Goal 1</b>	Promote the development of a learning environment directly supportive of our statutory mission as Alabama's public liberal arts university.	<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Faculty/Student Ratio (fall term data)	FTE	16:1	17:1	16:1	17:1	16:1	17:1	16:1		16:1	
Maintain regional accreditation	regional accreditation status (accredited=1)	1	1	1	1	1	1	1		1	

<b>Key Goal:</b>			
<b>Goal 2</b>	Reflect the international character and demographic diversity required of a modern liberal arts.	<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Percentage of the total undergraduate student population comprised of International students (fall term data)	percentage	2.5%	2	2.5%	2%	2.5%	2%	2.5%		2.5%	

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 2</b>	Reflect the international character and demographic diversity required of a modern liberal arts.								<b>Governor's Priority:</b>		1
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Provide events and campus-wide communications that highlight the University's commitment to diversity	Number of events/communications	4	11	4	5	4	2	4		16	
Key Goal:											
<b>Goal 3</b>	Utilize the physical infrastructure to enhance student learning; aid in recruitment and retention of students, faculty, and staff; and showcase our National Historic District and the original Olmsted plan.								<b>Governor's Priority:</b>		4
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Minimize environmental impact of physical plant operation and conserve energy use	Average utility costs per square foot in dollars	2.00	0.40	2.00	0.39	2.00	0.36	2.00		2.00	
Key Goal:											
<b>Goal 4</b>	Strengthen current partnerships and establish new partnerships with key stakeholders, using innovative technologies where appropriate.								<b>Governor's Priority:</b>		3
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase the percentage of alumni making financial contributions (annual computation based on year-end calculations)	percentage increase compared to FY10	0%	0	0%	0%	0%	0	1%		1%	
Expand the University's offerings of continuing education and in-service classes.	number of courses offered	3	28	3	24	3	49	3		12	

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>511 - University of North Alabama</b>
<b>Mission:</b>	The University of North Alabama engages in teaching, research, and service in order to provide educational opportunities for students, an environment for discovery and creative accomplishment, and a variety of outreach activities meeting the professional, civic, social, cultural, and economic development needs of our region in the context of a global community.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Fall enrollment	n/a	7279	n/a		n/a		n/a	7182	7,333	7182

Key Goal:			
<b>Goal 1</b>	Increase freshman to sophomore (Fall to Fall) domestic retention by 1 percent per year and international retention by 2 percent per year during the next five years.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Change in the percentage of first-time, full time domestic students who return fall 2010	percent		N/A						NA		65 percent
Change in the percentage of first-time, full time international students who return fall 2010	percent		N/A						NA		60 percent
Increase the number of student participating in Learning Communities	Number		N/A						NA		25 percent

Key Goal:			
<b>Goal 2</b>	Continue to strengthen Academic Programs	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Reaffirm all programs up for review	Number		N/A						NA		3
Sustain Student Faculty Ratio	Number		N/A						NA		20:1

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 2</b>	Continue to strengthen Academic Programs								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase available scholarships	Dollars		N/A						NA		0
Add key infrastructure	Square Feet		N/A						NA		0
Key Goal:											
<b>Goal 3</b>	Implement UNA's Strategic Diversity Plan								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase number of minorities sent to the Diversity Conference at the University of Alabama	Number		N/A						NA		3
Increase percentage of minority applications for staff and faculty positions	Number		N/A						NA		25 percent
Increase campus diversity training			N/A						NA		100 percent
Key Goal:											
<b>Goal 4</b>	Build programs that respond to regional economic development needs								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase applications to new funding sources	number		N/A						NA		20 percent
Increase academic programs	Number		N/A						NA		2

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 4</b>	Build programs that respond to regional economic development needs								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase Continuing Studies and Outreach programs	Number		N/A						NA		25 percent
Key Goal:											
<b>Goal 5</b>	Increase scholarship amounts by 5 percent to meet increase in tuition and books								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase in number of grants submitted	Number		N/A						NA		20 percent
Increase in percentage of donations/giving	Number		N/A						NA		35 percent

**Post Secondary Institutions**

<b>Institution:</b>	<b>512 - University of South Alabama</b>
<b>Mission:</b>	The mission of the University of South Alabama is to offer high-quality programs of teaching, research, public service and health care that create, communicate, preserve, and apply knowledge in service to the people of Alabama as citizens in a global community.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Credit hour enrollment (Fall)		165364		0		0		0	162,878	165364

Key Goal:								
<b>Goal 1</b>	To build upon the academic quality and learning environment of the University						<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase graduation rates by one percentage point	% Graduation Rates	38	37	0	0	0	0	0	0	38	37
Increase freshman retention by one percentage point	% Retention	69	67	0	0	0	0	0	0	69	67

Key Goal:								
<b>Goal 2</b>	To enhance the quality of student life						<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Score at or above the mean score for urban peer institutions in response to National Survey of Student Engagement questions about supportive campus environment	Above or Below the Weighted Mean Score	Above	Above	0	0	0	0	0	0	Above	Above

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 3	To embrace diversity								Governor's Priority:	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Achieve a score equal to or above the mean score for urban peer institutions in response to National Survey of Student Engagement questions about diverse experiences and interactions in the classroom	Above or Below the Weighted Mean Score	Above	Below	0	0	0	0	0	0	Above	Below
Achieve or exceed the mean score for urban peer institutions in response to National Survey of Student Engagement questions about interactions with students different than them	Above or Below the Weighted Mean Score	Above	Above	0	0	0	0	0	0	Above	Above
Key Goal:											
Goal 4	To strengthen financial support of the University								Governor's Priority:	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase FTE enrollment by 2% per year	FTE	11874	11807	0	0	0	0	0	0	11874	11807
Increase F&A reimbursements by 1% per year	\$	1349346	1168597	1349348	1209864	1349348	1427627	1349348	1380938	5397390	5187026
Increase net tuition and fees per FTE student by 5% per year	\$	5900	5862	0	0	0	0	0	0	5900	5862

**Post Secondary Institutions**

<b>Key Goal:</b>												
<b>Goal 5</b>		To promote research and scholarly activities							<b>Governor's Priority:</b>		1	
<b>Objectives and Quarterly Targets:</b>												
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>		
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	
Increase the number of proposals submitted to agencies for external funds by 5%	# of Proposals	110	63	111	123	111	64	111	108	443	358	
Increase awards resulting from proposals submitted by 5%	\$	10331803	6867942	10331803	4535486	10331803	4637112	10331802	22992564	41327211	39033104	

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>513 - Troy University</b>
<b>Mission:</b>	<p>UNIVERSITY MISSION STATEMENT</p> <p>Troy University is a public institution comprised of a network of campuses throughout Alabama and worldwide. International in scope, Troy University provides a variety of educational programs at the undergraduate and graduate levels for a diverse student body in traditional, nontraditional, and emerging electronic formats. Academic programs are supported by a variety of student services which promote the welfare of the individual student. Troy University's dedicated faculty and staff promote discovery and exploration of knowledge and its application to life-long success through effective teaching, service, creative partnerships, scholarship and research.</p>

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Student headcount enrollment. (Projections are based on fall 2005 baseline headcount of 27,104 and a 10% increase of 2,711 student headcount.)	2,401	1485	0	0	1,441	891	960	594	43651	29,689

Key Goal:			
<b>Goal 1</b>	Goal #1: (Student Centeredness) Ensure that students have effective academic programs as reflected in a student satisfaction rating of 90% for overall quality of academic programs as determined by the Graduating Student Survey.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
1. Improve ways to measure and compare student satisfaction of the Institution and use this information to create a new set of best practices.	Number of survey responses	10,200	6007	0	0	1,200	11644	600	2263	12,000	19,914

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 2</b>	Goal #2: (Internationalization) Troy University will enroll 800 international students on the Troy campus by 2010.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
To increase by an additional 50 international students per year.	Additional international students	35	158	0	0	10	45	5	23	50	226
Key Goal:											
<b>Goal 3</b>	Goal #3: (Cost Effectiveness and Strengthening the Infrastructure) Grant income will be increased by 100% by 2010 using fall 2004 as a baseline.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
To increase grant funding by at least \$3,700,000 per year.	Grant revenues in dollars	5,550,000	2657990	5,550,000	3831592	5,550,000	3871562	5,550,000	6651332	22,200,000	17,012,476
Key Goal:											
<b>Goal 4</b>	Goal #4: (Support Economic Development) Troy University will make 4 offices at overseas locations available for the State of Alabama to use for economic development activities.								<b>Governor's Priority:</b>	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Work with economic development officials to utilize one overseas location per year.	Number of overseas offices	0	0	0	0	0	0	1	0	1	0

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 5</b>	Goal #5:(Expand the Economy) Troy will provide 22 online degree programs to support military & civilian personnel at the state's military installations for high school and adult students in distressed rural counties.								<b>Governor's Priority:</b>	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
To increase the number of Alabama residents enrolled in online degree programs/courses by 150 students per year using fall 2006 as a baseline.	Number of Alabama online students	75	555	0	0	45	333	30	222	2,150	1,110

**Post Secondary Institutions**

<b>Institution:</b>	<b>514 - Alabama Institute for the Deaf and Blind (AIDB)</b>
<b>Mission:</b>	AIDB provides comprehensive education, employment and service programs of superior quality for children and adults who are deaf, blind, deaf-blind and multidisabled and their families.

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. The total number of infants, toddlers, children, adults and seniors who are deaf, blind, deaf-blind and multidisabled served by AIDB annually including residential, recurring and one-time services. Quarterly projections include students enrolled on continuing basis as well as short-term or one-time services. The total of recurring and short-term services for the year will total an estimated 14,000 individuals.	3500	5037	3500	4800	3500		3500	5064	14,500	20258

<b>Key Goal:</b>			
<b>Goal 1</b>	AIDB will maintain and design innovative programs to meet the unique and changing education needs of students with hearing and vision loss and their families.	<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Restoring lost funding for 53 education and support positions due to budget cuts is critical to AIDB's ability to maintain a system of specialty support services for under served deaf and blind children in public schools and on-campus programs. Additional resource teachers are needed at Regional Centers in Tuscaloosa, Birmingham, Montgomery/Auburn and Huntsville to meet optimal statewide outreach goals. Current services for blind children will be coordinated through the AIDB Instructional Resource Center.	Number of blind students receiving outreach services in public schools through the Instructional Resource Center.	1400	1503	1400	1406	1400		1400	1516	1400	1516

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 1</b>	AIDB will maintain and design innovative programs to meet the unique and changing education needs of students with hearing and vision loss and their families.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
AIDB serves children with hearing, vision and often multiple disabilities in campus and outreach environments statewide. In order to meet maximum independence in education, daily living and work skills a unique education plan is designed for each individual incorporating traditional, summer and short-term programs. AIDB will continue to maintain and expand new outreach and summer training opportunities.	Number of deaf and blind students in public schools enrolled in on-campus summer programs.	0	0	0	0	80		0	0	80	75
Key Goal:											
<b>Goal 2</b>	AIDB will craft a stimulating learning environment for students and clients through cutting edge Technology training and Staff Development programs.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Assistive technology labs will provide hands-on evaluation and training opportunities for blind and deaf adults for devices that can enhance both employment and daily living skills. Regional center labs will provide assistive technology services in remote Alabama locations for school age children, adults and seniors.	The number of deaf and blind adults receiving assistive technology services through the AIDB AT program	250	201	250	2-4	250		250	388	1000	1085

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 3		AIDB will foster outreach efforts that will create new education and employment opportunities for deaf and blind children and adults.							Governor's Priority:		1
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
In an effort to improve job opportunities for deaf and blind adults throughout Alabama AIDB will maintain and expand development of career education and work training programs in local communities not currently being served through job coach positions in Regional Centers. Grant funds will be pursued and used to supplement lost state dollars for personnel during the fiscal year.	The number of new job coach services provided for deaf and blind adults statewide.	300	253	300	608	300		300	344	1200	1783
Recover funds to maintain and provide expanded support services such as interpreting, independent living instruction, driver aides, etc. in regional locations that will enhance quality of life for adults and seniors with hearing and vision loss.	The number of deaf and blind adults receiving regional ongoing support services	500	528	500	692	500		500	573	2000	2295
Key Goal:											
Goal 4		AIDB will provide appropriate facilities and educational/business environments that meet student, consumer and program needs.							Governor's Priority:		1
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Through private grants and donations AIDB will seek to create 200 new computer stations for use in classroom and dormitory settings in an effort to provide quality technology training that levels the education and vocational playing fields for students with disabilities.	Number of new computer work stations created in campus classrooms and dormitories.	0	40	0	25	0		200	40	200	105

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

<b>Institution:</b>	<b>571 - Marine Environmental Sciences</b>
<b>Mission:</b>	To conduct pure and applied research, provide both structured education programs and information in non-instructional formats for individuals, organizations, and governance interested in and dependent upon the coastal and marine environment.

Workload Measures and Quarterly Projections										
Workload Measure	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
W1. Undergraduate & Graduate Credit Hours	225	183	0	0	750	854	525	432	1183	1469
W2. Overnight K-12 students.	1500	1807	1500	1736	2250	1611	1250	880	5250	6034
W3. Day K-12 students & Estuarium visitors.	7500	9749	10000	1561	25000	29072	25500	22864	75000	75801

Key Goal:			
<b>Goal 1</b>	Sustain the current levels of undergraduate and graduate education in the areas of marine science, coastal resource management, and science technology.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Number of students with Graduate Research Status.	Number of graduate students	52	52	52	50	52	51	52	50		50

Key Goal:			
<b>Goal 2</b>	Sustain the current levels of K-12 education and teacher training and certification in science (marine) education.	<b>Governor's Priority:</b>	1

Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Number of K-12 teachers trained with certification in marine science education.	Number of teachers trained	0	15	20	17	45	33	60	37		102

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 3</b>	Achieve recognition as a national center for excellence in marine science - education, research and service.								<b>Governor's Priority:</b>	5	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Number of research papers published by MESC/DISL faculty.	Number of publications	10	11	15	10	10	9	10	5		35
Key Goal:											
<b>Goal 4</b>	Provide students & faculty with resources that are adequate to support a strong learning environment.								<b>Governor's Priority:</b>	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Academic support expense as a percent of total expenditures.	% of total expense	16	15	16	16.7	18	16	18	20		17
Key Goal:											
<b>Goal 5</b>	Maintain existing capacity to provide non-instructional services to affected segments of local & regional populations.								<b>Governor's Priority:</b>	5	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase media "hits"	articles-stories/month	120	208	115	202	107	400	108	101		911

**Post Secondary Institutions**

<b>Institution:</b>	<b>580 - Alabama College System</b>
<b>Mission:</b>	To provide a unified system of institutions dedicated to excellence in delivering academic education, adult education, and workforce development.

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Credit Hour Production	937,147	1044322	902,154	N/A	467,064	983663	375,116	488802	2,061,668	2,516,787

<b>Key Goal:</b>			
<b>Goal 1</b>	Ensure that the programs of the Alabama Community College System meet the current and future needs of Alabama.	<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Increase the number of individuals served in career tech dual enrollment programs by 5% during fiscal year 2011.	%	N/a		N/A	N/A	N/A	N/A	N/A	N/A	1900	3,259

**Item # Notes**

- WL1 Fall 2010 Semester
- WL1 Should reflect N/A for 2nd qtr
- WL1 Should reflect 902,154 for Spring Semester
- WL1 Should reflect 467,064 for Summer Semester
- WL1 Total projection should be 2,306,365

**Post Secondary Institutions**

<b>Institution:</b>	<b>581 - Athens State University</b>
<b>Mission:</b>	Athens State University offering course work at the junior and senior level is the baccalaureate degree granting institution of the Alabama College System. The University has a commitment to primarily serve transfer students of the Alabama College System.

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Student Enrollment	3600	3641	3575	3444	3450	3497	3600	3414	3511	3499
W2. Student FTE	2345	2291	2325	2175	1575	2166	2345	2164	2234	2199
W3. Number of Student FTE per Faculty Member	24	26	24	24.2	21	22.5	24	22.5	28	23.80

<b>Key Goal:</b>			
<b>Goal 1</b>	To provide educational opportunities to postsecondary students throughout the state through carefully planned and implemented programs of study.	<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Increase student enrollment in ASU programs by 5 percent per semester.	Student Enrollment by Term	3600	3641	3575	3444	3480	3497	3600	3414	3600	3499
Increase enrollment of students participating in internships	Number of students placed in internships	45	36	35	37	0	20	45	27	45	37
Increase amount and number of university-funded scholarships.	Dollar value and number of university-funded scholarships	80	65	80	67	40	57	80	116	80	305

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 2	Provide and maintain appropriate learning resources supportive of student learning, quality teaching, and technological proficiency.								Governor's Priority:	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Improve the quality of instruction in distance education through faculty training offered by the Center for Instructional Technology (CIT).	Number of faculty trained in different aspects of Blackboard, Tegrity, and Wimba through the CIT	150	27	150	35	130	3	110	22	150 annually	87
Achieve university-wide compliance with outcomes assessment cycle and standards.	Number of academic programs and administrative /support units achieving certification of compliance	100%	100%	100%	100	100%	100%	100%	100%	100%	100%

**Item # Notes**

- O1     Fall 2011-2012, dollar value of \$269,176
- O1     Low number of faculty trained due to implemenation of online training module

**Post Secondary Institutions**

<b>Institution:</b>	<b>582 - Alabama Fire College and Personnel Standards Commission</b>
<b>Mission:</b>	Our continuing commitment is to facilitate excellence in education, training, certification, and support services for the emergency response community.

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Number of Fire Service Training classes held in the field over the period of the fiscal year.	250	215	250	208	250	254	250	169	1	846
W2. Number of classroom hours delivered during the fiscal year.	170380	133205	170381	123546	170380	137058	170381	129492	1	523301.
W3. Number of certifications issued during the fiscal year.	1509	1315	1508	1434	1508	1609	1508	1712	1	6070

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 1	Develop and promote 6 certification and training courses for EMS and Rescue Squad personnel by the end of Fiscal Year 2015.								Governor's Priority:	4	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Develop and promote certification and training courses for EMS and Rescue Squad personnel.	Number of certification and training courses developed for EMS and Rescue Squad personnel.	0	1	1	1	1	1	1	1	3	3
Key Goal:											
Goal 2	Fully establish a regional campus network by establishing 1 campus in each of the 6 AFC service regions by the end of Fiscal Year 2015.								Governor's Priority:	4	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Fully establish a regional campus network by establishing a campus in each of the Alabama Fire College service regions.	Number of campuses established in addition to the Main Campus.	1	5	1	0	1	0	1	10	4	15

**Item # Notes**

- O1 Please note these were approved by the Commission, not necessarily active.
- O1 Please note that not fully established, only approved at this time.

**Post Secondary Institutions**

<b>Institution:</b>	<b>583 - ALABAMA INDUSTRIAL DEVELOPMENT TRAINING INSTITUTE</b>
<b>Mission:</b>	The mission of AIDT is to provide quality workforce development for Alabama's new and expanding businesses, and to expand the opportunities of its citizens through the jobs these businesses create.

<b>Workload Measures and Quarterly Projections</b>										
	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Workload Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Job Creation	1,000	711	1,000	529	1,500	558	1,500	547	5000	2345
W2. Citizens Trained	7,500	7088	7,500	10297	7,500	17169	7,500	12331	30000	46885
W3. Trainee Hours	375,000	263720	375,000	407200	375,000	682120	375,000	492920	1500000	1845960

<b>Key Goal:</b>			
<b>Goal 1</b>	Assist companies with workforce training	<b>Governor's Priority:</b>	3

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Schedule and conduct four management review meetings.	Number of Meetings	1	1	1	1	1	1	1	1	4	4
Develop and deliver 50 pre-employment programs.	Pre-E Training Programs	10	7	10	12	15	13	15	5	50	37
Develop and deliver 60 OJT programs.	OJT Programs	15	10	15	9	15	9	15	8	60	36
Perform 12 internal quality audits.	Customer Satisfaction	3	2	3	7	3	3	3	4	12	16

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 2	Increase and improve AIDT services offered to applicants.								Governor's Priority:	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Develop and implement applicant profiles as part of overall applicant tracking.	Percentage Complete	25%	15%	25%	30%	25%	15%	25%	20	100%	80%
Develop and implement new soft skills training to include, but not limited to, work ethics, one-on-one communications, managing difficult situations, and resolving personnel conflict.	Percentage Complete	25%	25%	25%	25%	25%	25%	25%	15	100%	90%
Key Goal:											
Goal 3	Expand AIDT knowledge base internally and externally.								Governor's Priority:	3	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Offer opportunities for AIDT employees to learn other languages as part of professional development.	Percentage Complete	25%	25%	25%	Completed	25%	Completed	25%	Completed	100%	100%
Implement a mentoring program for AIDT staff.	Percentage Complete	25%	25%	25%	25%	25%	10%	25%	10	100%	70%

**Item # Notes**

- WL1 Actual jobs created still trailing original projections due to the slow economy.
- O1 (Goal 2) Information being investigated which caused a slight delay in progress of objective.
- O2 (Goal 2) Terminology in objective should be "personal", not "personnel".
- O1 (Goal 3) Requests dealt with on an "as needed work basis"

**Post Secondary Institutions**

<b>Institution:</b>	<b>ACE - Auburn University Alabama Cooperative Extension (ACES)</b>
<b>Mission:</b>	To provide real life solutions and information to improve the lives of all Alabamians.

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Face to face client contacts	750,000	558075	375,000	188980	375,000	364976	375,000	99320	1,850,000	1,211,351
W2. Web based non-face to face client contacts	1,100,000	749315	1,100,000	813849	1,100,000	938481	1,100,000	972583	4,200,000	3,474,228
W3. Web-based interactive video conferences	437	243	438	321	437	273	438	350	1,500	1,187

<b>Key Goal:</b>			
<b>Goal 1</b>	To provide client relevant education, which allows clients to make informed decisions that improve the quality of their lives.	<b>Governor's Priority:</b>	5

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Increase the number of success stories that have measurable impact.	Number of success stories	300	170	100	37	100	58	100	90	600	355
Reach more clientele through electronic publications/print-on demand.	Number of electronic publications.	962,500	475775	962,500	647445	962,500	745361	962,500	871698	3,850,000	2,740,279
Increase the number of interactive video conferences available each year.	Number of conferences	437	243	438	321	437	273	438	350	1750	1,187
Increase the amount of extramural funding.	Dollars	1,500,000	246081	1,000,000	724589	1,000,000	1968832	7,500,000	5241264	11,000,000	8,180,766

**Post Secondary Institutions**

<b>Institution:</b>	<b>AES - Auburn University Alabama Agricultural Experimental Station (AAES)</b>
<b>Mission:</b>	Foster and perform basic and applied research in agricultural, biological, environmental, food, and human sciences, and provide scientifically sound information for improving the quality of life for Alabamians.

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. AAES research expenditures funded with state appropriations	7,742,056	8383127	7,742,056	5997791	7,742,056	5232000	7,742,056	11355306	32398080	30968224
W2. AAES research expenditures funded with extramural and other non-base funds	7,415,308	7817441	7,415,308	6707505	7,415,309	9111485	7,415,309	10380299	29607133	34016730
W3. AAES Faculty research FTEs	95	95	95	95	95	95	95	95	108	95

<b>Key Goal:</b>			
<b>Goal 1</b>	Identify, develop, and enhance research programs that create new knowledge or refine current knowledge that will improve the economy and quality of life for all citizens of Alabama; develop and sustain multidisciplinary programs that provide leadership and economic assistance for the agricultural and natural resource industries of Alabama; identify and develop programs in those areas of emerging importance, such as sustainability of renewable resources and natural resource conservation, water quality, and food safety, that impact the quality of life, health, and safety of the citizens of Alabama.	<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
AAES research expenditures funded with extramural and other non-base funds/faculty FTE	Dollars	70,000	82289	130,000	152894	190,000	248800	265,000	358071	265,000	358071
Cost recovery of fixed and administrative expenses through extramural support	Dollars	575,000	574105	575,000	529470	575,000	821940	575,000	871369	2,300,000	2796884
Research expenditures supported by federal appropriations and contracts/grants	Dollars	5,454,047	5706516	5,454,047	5583481	5,454,047	5748700	5,454,048	9013094	21,816,189	26051791

**Post Secondary Institutions**

<b>Institution:</b>	<b>AUM - Auburn University Montgomery</b>
<b>Mission:</b>	<p>Auburn University at Montgomery’s mission, as the metropolitan campus of Auburn University, a land-grant institution, is to foster and exemplify excellence in education through instruction, research, and service. Auburn University at Montgomery blends the traditional views of the university as a community of scholars with the contemporary view of the university as an integral part of the surrounding community, state, and region.</p> <p>AUM provides academic programs that are characteristic of the finest traditions of scholarship and consistent with the responsibility of the university to provide support for the functions of government, regional economic growth, and cultural enrichment through the arts.</p> <p>The personal and intellectual development of students is the inherent goal of a university education. This assumption, complemented by the belief that knowledge is valuable in itself, underlies the primary aspiration of AUM: to create in students a realization of their potential as responsible, ethical human beings.</p>

<b>Workload Measures and Quarterly Projections</b>										
<b>Workload Measure</b>	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Student Enrollment	5800	5811	0	0	5200	5326	3000	2619	13,200	13756
W2. Full-Time Faculty	190	180	0	0	170	165	120	131	186	478

<b>Key Goal:</b>									
<b>Goal 1</b>	Increase credit hour production by 30% by 2015.						<b>Governor's Priority:</b>	1	

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Increase credit hour production by 5% per year.	Percentage increase/decrease		1%		0		0		N/A		0

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
<b>Goal 2</b>	Train more than 20,000 government employees by 2012.									<b>Governor's Priority:</b>	1
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Train 5,000 government employees per year.	Number of government employees trained.		1583		0		3004		210		4797
Key Goal:											
<b>Goal 3</b>	Increase international student enrollment to 300 by 2012.									<b>Governor's Priority:</b>	1
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase international student enrollment by 145 students.	Number of international students each Fall.		169		169		169		N/A		169
Key Goal:											
<b>Goal 4</b>	Improve the overall mean score from 3.06 to 3.35 (4-point scale) on the Graduating Class Survey question, "How satisfied were you with the following services?" by Spring 2012									<b>Governor's Priority:</b>	1
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Increase student satisfaction by improving "Customer service".	Item 13 - NSSE (4 point scale).		N/A		N/A		N/A		N/A		N/A
Increase student satisfaction.	Mean Score on Graduating Class Survey.		N/A		N/A		N/A		N/A		3.45

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

**Item # Notes**

- O1 Actual was >0% but less than .5%
- O1 Change from previous year was zero
- O1 NSSE is done every two years. Will report next year.
- O1 NSSE is done every two years. Will report next year.
- O1 NSSE is done every two years. Will report next year.







**Post Secondary Institutions**

<b>Institution:</b>	<b>TSK - Tuskegee University</b>
<b>Mission:</b>	To prepare students to assume effective professional and leadership roles in society and to become productive citizens in the national and world community.

<b>Workload Measures and Quarterly Projections</b>										
	<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Workload Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
W1. Student enrollment (FTE)	2900	2946	2800	2875	2800	2875	2950	3156	2900	2992

<b>Key Goal:</b>								
<b>Goal 1</b>	To strengthen the educational preparation of undergraduates for life and work in a 21st century global society and achieve explicit and substantial improvement in teaching and learning by enhancing the delivery modes of instruction.						<b>Governor's Priority:</b>	1

<b>Objectives and Quarterly Targets:</b>											
<b>Performance Measures</b>		<b>First Quarter</b>		<b>Second Quarter</b>		<b>Third Quarter</b>		<b>Fourth Quarter</b>		<b>Annual</b>	
<b>Objective</b>	<b>Unit of Measure</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>	<b>Projected</b>	<b>Actual</b>
Develop, validate and assess annually measurable student learning outcomes for each academic objective target.	Percentage of Completion	78%	75%	78%	75%	80%	80%	80%	80%	80%	80%
Continue to increase the percentage of the faculty using Blackboard and other technology-based teaching resources.	% of Faculty Using	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%
Establish, operate and document the instructional efficacy of smart classrooms - one for each of the five colleges.	% of Classrooms	50%	50%	50%	50%	60%	55%	60%	60%	60%	60%

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 2	As an institutional product of the 2006-2008 Southern Association of Colleges and Schools (SACS) reaffirmation of accreditation processes, strengthen the performance levels of the entire university faculty, especially as regard instructional efficacy for the General Education Curriculum and the Quality Enhancement Plan (QEP).								Governor's Priority:	1	
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
On a continuing basis, improve the quality, effectiveness and efficiency of research activities as assessed by increased yields and successes in the following areas: competitive grant awards; contract volume/aggregate funding levels; patent and licensure awards; graduate and undergraduate academic achievement; K-12 teacher science and mathematics competency levels; K-12 inquiry-based instructional resource acquisition and use; K-12 student performance; and science and technology small (incubator) business development contributions.	% of Completion	45%	40%	45%	50%	50%	50%	50%	50%	50%	50%
As an increment to the aforementioned (Objective 1) faculty quality and performance indices, engage and annually assess the value added by and effectiveness of aggregate student services, administrative functions, academic advisement, and general campus support systems in achieving specific and measurable student learning-centric educational goals.	% of Completion	40%	45%	40%	45%	45%	45%	45%	50%	45%	50%

## FY11 SMART Quarterly Performance Report

### Post Secondary Institutions

Key Goal:											
Goal 3	To strengthen the University's basic research capacity, especially in those areas that lead to economic development to meet the needs of and have an impact on the state of Alabama, and enhance the efficacy of community engagement through strong university-K-12 school partnerships and effective collaborations with other sectors.	Governor's Priority:	3								
Objectives and Quarterly Targets:											
Performance Measures		First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Annual	
Objective	Unit of Measure	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
Improved quality and efficiency of research facilities as assessed by research productivity, competitive research grants and contracts volume/ aggregate funding level, patents and licensure activity, academic achievement for graduate and undergraduate students, the science and mathematics competency of participating K-12 teachers, the acquisition and use of inquiry-based K-12 instructional resources, and the corresponding performance of K-12 students, and contributions to science and technology small (incubator) business development.	% of completion	45%	47%	45%	45%	45%	45%	50%	50%	50%	50%
Provide opportunities for greater interdisciplinary collaborations by establishing a single facility to house multi-disciplinary research centers; thereby, enabling the linkage of basic and applied research and development activities; the production and promotion of significant technological innovations; as well as the rapid transfer of resulting products into science, mathematics, technology and engineering teaching and learning programs-- in particular, programs that document learning gains among participating K-12 students.	% of Completion	30%	30%	30%	35%	30%	35%	30%	50%	30%	50%





Talladega College  
Institution

QUARTERLY EXPENDITURE REPORT FOR FY 2011

COMBINED CURRENT FUNDS EXPENDITURES	FIRST QUARTER		SECOND QUARTER		THIRD QUARTER		FOURTH QUARTER	
	Budgeted	Actual	Budgeted	Actual	Budgeted	Actual	Budgeted	Actual
<b>Current Unrestricted Funds:</b>								
Instruction	734,913	303,843	734,914	471,848	325,504	324,845	409,409	330,812
Research								
Public Service								
Academic Support	128,823	83,188	128,824	79,109	123,772	80,874	113,667	84,638
Student Services	663,641	510,661	663,641	357,067	478,502	396,157	601,927	321,191
Institutional Support	552,532	249,847	604,944	948,776	671,148	281,139	771,010	708,087
O&M of Physical Plant	450,153	1,000,537	675,230	1,073,570	900,307	1,278,544	675,230	1,134,308
Scholarships and Fellowships	200,000	510,904	400,000	606,545	200,000	76,121	400,000	524,414
Sheltered Workshops and BSC								
Other --Non-Mandatory Transfers	459,000		459,000		169,000	863,000	363,424	
Total Unrestricted E&G Expenditures	3,189,062	2,658,980	3,666,553	3,536,915	2,868,233	3,300,680	3,334,667	3,103,450
<b>Current Restricted Funds:</b>								
Instruction	272,476	242,059	272,476	336,152	90,825	178,363	181,651	221,886
Research								
Public Service	57,300	55,465	67,450	66,156	117,380	46,572	107,392	91,205
Academic Support	68,420	76,754	73,504	89,525	42,650	53,259	50,042	33,855
Student Services	202,024	159,141	220,030	119,623	126,022	107,224	140,020	102,725
Institutional Support	215,118	531,118	245,050	302,515	125,000	83,188	195,056	149,151
O&M of Physical Plant	63,080	391,174	113,000	330,515	313,248	64,845	163,000	276,417
Scholarships and Fellowships								
Total Restricted E&G Expenditures	878,418	1,455,711	991,510	1,244,486	815,125	533,451	837,161	875,239
Auxiliary Enterprise Expenditures	623,270	422,181	623,270	347,845	220,398	207,135	415,513	318,518
<b>TOTAL CURRENT FUND EXPENDITURES</b>	<b>4,690,750</b>	<b>4,536,872</b>	<b>5,281,333</b>	<b>5,129,246</b>	<b>3,903,756</b>	<b>4,041,266</b>	<b>4,587,341</b>	<b>4,297,207</b>

Tuskegee University  
Agency

QUARTERLY EXPENDITURE REPORT FOR FY 2010-2011

COMBINED CURRENT FUND EXPENDITURES	FIRST QUARTER		SECOND QUARTER		THIRD QUARTER		FOURTH QUARTER	
	Budgeted	Actual	Budgeted	Actual	Budgeted	Actual	Budgeted	Actual
<b>Current Unrestricted Funds:</b>								
Instruction	5,148,985	4,667,974	4,645,989	4,269,913	4,067,150	3,822,813	3,836,300	4,781,288
Research	1,306,432	513,063	1,032,132	539,735	1,228,146	642,419	835,664	497,929
Public Service	1,331,784	1,022,769	1,011,912	938,772	1,207,834	1,415,133	1,081,943	1,080,900
Academic Support	646,609	588,676	610,550	655,776	667,218	1,530,286	1,268,294	589,886
Student Services	1,554,842	1,406,677	1,401,414	1,033,973	1,285,336	1,219,609	1,600,667	1,451,468
Institutional Support	3,479,757	4,093,155	3,209,905	3,684,300	2,758,136	4,445,351	3,279,099	2,778,014
O&M of Physical Plant	2,343,745	2,232,519	2,699,846	2,033,161	2,950,074	2,694,093	1,983,396	2,801,888
Scholarships and Fellowships	366,225	160,489	5,762,395	5,734,299	395,989	2,700,042	6,768,091	3,836,775
Sheltered Workshops and BSC								
Other---								
<b>Total Unrestricted E&amp;G Expenditures</b>	<b>16,178,379</b>	<b>14,685,322</b>	<b>20,374,143</b>	<b>18,889,929</b>	<b>14,559,883</b>	<b>18,469,746</b>	<b>20,653,454</b>	<b>17,818,148</b>
<b>Current Restricted Funds</b>								
Instruction	2,082,143	2,140,426	2,503,958	2,313,152	2,783,720	2,678,109	1,726,967	2,075,697
Research	2,495,275	2,182,772	3,091,367	1,996,508	3,498,373	3,135,698	2,681,490	2,703,092
Public Service	1,588,428	1,125,034	1,585,648	1,132,574	1,936,219	1,395,622	1,501,841	997,378
Academic Support	106,463	4,534	180,812	9,681	119,751	32,179	97,894	8,024
Student Services	10,522	2,926	8,791	2,830	9,418	968	8,041	2,976
Institutional Support	25,591	9,869	50,509	5,282	73,777	44,236	45,559	49,749
O&M of Physical Plant	0	0	0	0	0	0	0	0
Scholarships and Fellowships	944,304	1,049,081	2,473,780	3,174,937	1,099,051	904,353	2,789,151	3,565,502
<b>Total Restricted E&amp;G Expenditures</b>	<b>7,252,726</b>	<b>6,514,642</b>	<b>9,894,865</b>	<b>8,634,964</b>	<b>9,520,309</b>	<b>8,191,165</b>	<b>8,850,943</b>	<b>9,402,418</b>
Auxiliary Enterprise Expenditures	2,901,264	2,706,073	3,273,201	3,250,591	2,868,493	3,077,752	2,108,831	2,589,736
<b>TOTAL CURRENT FUND EXPENDITURES</b>	<b>26,332,369</b>	<b>23,906,037</b>	<b>33,542,209</b>	<b>30,775,484</b>	<b>26,948,685</b>	<b>29,738,663</b>	<b>31,613,228</b>	<b>29,810,302</b>

COMMENTS